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| APPLICATION NO.                        | FILING DATE                                   | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.     | CONFIRMATION NO. |
|--|---|----------------------|-------------------------|------------------|
| 10/600,722                             | 06/20/2003                                    | Lars Severinsson     | 03370-P0039A            | 5843             |
| 24126                                  | 7590 02/13/2004                               |                      | EXAMINER                |                  |
| ST. ONGE STEWARD JOHNSTON & REENS, LLC |   |                      | WILLIAMS, THOMAS J      |                  |
|  | 986 BEDFORD STREET<br>STAMFORD, CT 06905-5619 |                      | ART UNIT                | PAPER NUMBER     |
|  | •   |                      | 3683                    | - ·              |
|  |   |                      | DATE MAILED: 02/13/2004 |                  |

Please find below and/or attached an Office communication concerning this application or proceeding.

|   | Application No.  | Applicant(s)  |  |  |  |  |
|---|--|---|--|--|--|--|
|   | 10/600,722   | SEVERINSSON ET AL.  |  |  |  |  |
| Office Action Summary   | Examiner   | Art Unit  |  |  |  |  |
| ·   | Thomas J. Williams   | 3683  |  |  |  |  |
| The MAILING DATE of this communication ap   | pears on the cover sheet with the c  | correspondence address  |  |  |  |  |
| Period for Reply  |  |   |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPI THE MAILING DATE OF THIS COMMUNICATION  - Extensions of time may be available under the provisions of 37 CFR 1. after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a re - If NO period for reply sepecified above, the maximum statutory period.  - Failure to reply within the set or extended period for reply will, by statu Any reply received by the Office later than three months after the maili earned patent term adjustment. See 37 CFR 1.704(b). | .136(a). In no event, however, may a reply be tir<br>ply within the statutory minimum of thirty (30) day<br>d will apply and will expire SIX (6) MONTHS from<br>te, cause the application to become ABANDONE | mely filed ys will be considered timely. In the mailing date of this communication. ED (35 U.S.C. § 133). |  |  |  |  |
| Status  | •  |   |  |  |  |  |
| 1) Responsive to communication(s) filed on  |  |   |  |  |  |  |
| ,—  | is action is non-final.  |   |  |  |  |  |
| ,—  |  |   |  |  |  |  |
| ,   | closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.  |   |  |  |  |  |
| Disposition of Claims   |  |   |  |  |  |  |
| 4)⊠ Claim(s) <u>1-8</u> is/are pending in the application   |  |   |  |  |  |  |
|   | 4a) Of the above claim(s) is/are withdrawn from consideration.   |   |  |  |  |  |
| 5) Claim(s) is/are allowed.   |  |   |  |  |  |  |
| 6)⊠ Claim(s) <u>1-6</u> is/are rejected.  |  |   |  |  |  |  |
| 7)⊠ Claim(s) <u>7 and 8</u> is/are objected to.   |  |   |  |  |  |  |
| ·   | Claim(s) are subject to restriction and/or election requirement.   |   |  |  |  |  |
| Application Papers  |  |   |  |  |  |  |
| 9) The specification is objected to by the Examir   | ner .  |   |  |  |  |  |
| 10)⊠ The drawing(s) filed on <u>20 June 2003</u> is/are: a)⊠ accepted or b)□ objected to by the Examiner.   |  |   |  |  |  |  |
|   | Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  |   |  |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  |  |   |  |  |  |  |
|   | 1) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  |   |  |  |  |  |
| Priority under 35 U.S.C. § 119  |  |   |  |  |  |  |
|   | n priority under 35 I I S C & 110/a  | )-(d) or (f)  |  |  |  |  |
| <ul> <li>12) Acknowledgment is made of a claim for foreig</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority document</li> </ul>  | nts have been received.  |   |  |  |  |  |
| 2. Certified copies of the priority documer   |  |   |  |  |  |  |
| 3. Copies of the certified copies of the pri  |  | ed in this National Stage   |  |  |  |  |
| application from the International Bure   |  | - 4   |  |  |  |  |
| * See the attached detailed Office action for a lis   | st of the certified copies not receive   | <b>∍</b> d.   |  |  |  |  |
| Attachment(s)   |  |   |  |  |  |  |
| 1) Notice of References Cited (PTO-892)  4) Interview Summary (PTO-413)   |  |   |  |  |  |  |
| 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)   | Paper No(s)/Mail D   | ate   |  |  |  |  |
| <ol> <li>Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08<br/>Paper No(s)/Mail Date <u>12.12.03</u>.</li> </ol>   | 5) Notice of Informal F<br>6) Other:   | Patent Application (PTO-152)  |  |  |  |  |

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### **DETAILED ACTION**

1. Acknowledgment is made in the receipt of the priority document filed November 10, 2003 and the information disclosure statement filed December 12, 2003.

## Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 3. Claims 1-6 are rejected under 35 U.S.C. 102(b) as being anticipated by US 2,693,254 to Barish.

Re-claim 1, Barish discloses a transmission brake for a rotational member 6 by a motor means 17 in a drive direction, characterized by a clutch means (8 and 9) positioned between the rotational member 6 and a non-rotational housing (1 and 5), a ramp means (31 and 37) disengages the clutch means.

Re-claims 2-6, the clutch means is spring biased into engagement; the clutch means comprises at least one brake disc connected to the rotational member and at least one lamella 5; the brake disc is splined to the shaft 4; rollers are arranged between the inclined ramp surfaces on a ramp ring 19 and a ramp sleeve 21; a rotational play is anticipated between the ramp ring and the shaft.

4. Claims 1-6 are rejected under 35 U.S.C. 102(b) as being anticipated by US 3,068,975 to Theuer.

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Re-claim 1, Theuer discloses a transmission brake for a rotational member 12 by a motor means 4 in a drive direction, characterized by a clutch means 27 positioned between the rotational member 12 and a non-rotational housing 28, a ramp means (38 and 39) disengages the clutch means.

Re-claims 2-6, the clutch means is spring biased into engagement; the clutch means comprises at least one brake disc 26 connected to the rotational member and at least one lamella 28; the brake disc is splined to the shaft 4 (via splines 24); rollers are arranged between the inclined ramp surfaces on a ramp ring 18 and a ramp sleeve 18' (see figure 6); a rotational play is anticipated between the ramp ring and the shaft.

### Allowable Subject Matter

5. Claims 7 and 8 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

#### Conclusion

6. Any inquiries concerning this communication or earlier communications from the examiner should be directed to Thomas Williams whose telephone number is (703) 305-1346. The examiner can normally be reached on Monday-Thursday from 6:30 AM to 4:00 PM. The examiner can also be reached on alternate Fridays.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jack Lavinder, can be reached at (703) 308-3421. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306.

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Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-1113.

TJW

February 9, 2004

THOMAS WILLIAMS

Thomas J. Williams AU 3683 2-9-04